Your interests are global. And so are we.

Welcome to Royal Bank of Canada

Thank you for choosing us

At RBC Wealth Management, we take the time to understand you, your goals and how we can best work together.The ideal investment portfolio reflects factors including your risk tolerance, time horizon, asset class preferences and plans for the future. Tap into a wealth of expertise from across RBC's global network and let us support you and your goals.

It doesn't have to be complicated. We can help you simplify the journey.

Through sharing with us your information and related documents that support your answers to the following questions, we can gain a deeper understanding of you and your needs.

About the account holder

- Identification documents of corporate entity
- Identification documents of shareholders, directors, authorized signatories, beneficial owner(s)
- Tax residency status
- Accredited Investor / Professional Investor status
- Private Banking Client status

About the beneficial owner

- What are the investment objectives and goals?
- What is the risk appetite?
- What is the tax residency status?

How did it all begin?

In order to support you with the appropriate suite of solutions, we would need supporting documents as validation, for the entity/beneficial owner's initial and ongoing source of income and assets.

Let's plan for your success.

Sharing with us the above information will provide insights into the corporate entity and from there, we can work with you to tailor a unique set of strategies by tapping on our network of local and global professionals.

Onboarding checklist for Corporates & Legal Entities – An Overview

Share with us your story

It is key to get to know the corporate entity – the purpose of the account and activity, in order to ensure we offer suitable solutions.

Understanding the corporate entity's growth story, the source of wealth – the origins of its funds and assets will help us to carry out due diligence and manage financial crime risk.

To validate the source of wealth, we would require supporting documentation and information.

Examples of source of wealth include – among others:

- Inheritance
- Company ownership and profits
- Investment / Savings
- Property sale
- Property portfolio
- Gift
- Sale of company, its shares or assets
- Income from profession / employment
- Compensation payment
- Proceeds of investment and company funds of the investment
- Other income

To initiate the account opening process, we need – among others – the following documents from you.

Identification and supporting documents

- ☐ Identification documents*
  - Hong Kong Identity Card ("HKID") for Hong Kong nationals (for HK booked HK managed client); or
  - Singapore National Registration Identity Card ("NRIC"); or
  - Valid passport;
- ☐ Proof of residential address within 3 months of issuance*
- ☐ Account application form

* Documents needed for account holder's shareholder(s)/partner(s)/directors/authorized signatories/beneficial owners as well.

Company documents

- ☐ Certificate of Incorporation
- ☐ Memorandum & Articles of Association
- ☐ Certificate of Incumbency / Company Register (issued by a public corporate registry) (*if applicable*)
- ☐ Certificate of Good Standing (*if applicable*)
- ☐ Organisational Chart (*if applicable*)
- ☐ Board Resolution & Authorised Signatory List

Accredited Investor Status

For us to treat you as an Accredited Investor (AI) for the purposes of all of the consent provisions, we require the following supporting documents:

- ☐ Declaration of AI status form
- ☐ Proof of AI status
- ☐ Opt-in confirmation form

Professional Investor / Private Banking Client Status *(if applicable)*

For us to treat you as a Professional Investor (PI) and Private Banking Client (PBC) for the purposes of all of the consent provisions as well as ascertain your portfolio, we require the following supporting documents:

- ☐ PI Declaration form
- ☐ Proof of PI status
- ☐ Proof of PBC status

Let's plan for your success

We tailor strategies and offer advice based on your investment goals and risk appetite. The following documents will help us in identifying your profile and ensure that our solutions align with your aims:

- ☐ Client Investment Profile
- ☐ Investment Suitability Information

To ensure RBC's compliance with global regulations, we require you to provide the following tax-relevant information:

- ☐ W-8-BEN-E form
- ☐ CRS Entity Self-Certification Form
- ☐ CRS Controlling Person Self-Certification Form (*if applicable*)

Depending on the services requested from us, and your demography (age, education, country of residence etc), other forms may apply.

Scan the QR code to view the terms and conditions. Please ensure that you have reviewed it.